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 S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		TSM03-0140
First Inventor		Sheu, et al.
Title	Self-Aligned MOSFET having an Oxide Region below the Channel	
Express Mail Label No.	EV 339466936 US	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
 See 37 CFR 1.27.
3. Specification [Total Pages 24]

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 10]

5. Oath or Declaration [Total Sheets 1]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

- i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents
 Mail Stop: Patent Application
 P. O. Box 1450, Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. CD-ROM or CD-R (2 copies); or
- ii. paper
- c. Statements verifying identity of above copies

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ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
 37 CFR 3.73(b) Statement Power of Attorney
10. English Translation Document (if applicable)
11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Nonpublication Request under 35 U.S.C. 122
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
16. Other:
17. Other:

18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group Art Unit: _____

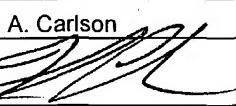
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 25962 or Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Slater & Matsil, L.L.P.			
	17950 Preston Rd.			
Address	Suite 1000			
City	Dallas	State	Texas	Zip Code
Country	USA	Telephone	972-732-1001	Fax
				75252-5793
				972-732-9218

Name (Print/type)	Brian A. Carlson	Registration No. (Attorney/Agent)	37,793
Signature			Date
			7/15/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P. O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1 952.00)

Complete if Known

Application Number	TBD
Filing Date	Herewith
First Named Inventor	Sheu, et al.
Examiner Name	TBD
Art Unit	TBD
Attorney Docket No.	TSM03-0140

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None Deposit Account

Deposit Account Number	50-1065
Deposit Account Name	Slater & Matsil, L.L.P.

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
1001	750	2001 375 Utility filing fee	750.00
1002	330	2002 165 Design filing fee	
1003	520	2003 260 Plant filing fee	
1004	750	2004 375 Reissue filing fee	
1005	160	2005 80 Provisional filing fee	

SUBTOTAL (1) (\$1 750.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Extra Claims		Fee from below	Fee Paid			
		29	-20**=	9	X	18	=	162.00
	3		- 3**=	0	X	84	=	
				280	=			

Multiple Dependent Large Entity Small Entity Fee Description Fee Paid

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1202	18	2202 9 Claims in excess of 20	
1201	84	2201 42 Independent claims in excess of 3	
1203	280	2203 140 Multiple dependent claim, if not paid over original patent	
1204	84	2204 42 **Reissue independent claims over original patent	
1205	18	2205 9 **Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$1 162.00)

** or number previously paid, if greater. For Reissues, see above

Large Entity	Small Entity	Fee Description	Fee Paid
1202	18	2202 9 Claims in excess of 20	
1201	84	2201 42 Independent claims in excess of 3	
1203	280	2203 140 Multiple dependent claim, if not paid over original patent	
1204	84	2204 42 **Reissue independent claims over original patent	
1205	18	2205 9 **Reissue claims in excess of 20 and over original patent	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$1 40.00)

SUBMITTED BY					
Name (Print/Type)	Signature		Registration No. (Attorney/Agent)	Telephone	Date
Brian A. Carlson			37,793	972-732-1001	7/15/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sheu, et al. Docket No.: TSM03-0140

Serial No.: TBD Art Unit: TBD

Filed: Herewith Examiner: TBD

For: Self-Aligned MOSFET having an Oxide Region below the Channel

Certificate of Mailing via Express Mail

EXPRESS MAIL mailing label number: EV 339466936 US

Date of Deposit: July 15, 2003

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to: Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450:

Certificate of Mailing via Express Mail (1 page)
Utility Patent Application Transmittal (1 page)
Fee Transmittal (1 original and 1 copy = 2 pages)
Complete Copy of Patent Application (34 pages), to include:
 Specification (24 pages)
 Formal Drawings (10 pages)
Declaration and Power of Attorney (1 page)
Assignment Recordation Cover Sheet (1 page)
Copy of Original Signed Assignment (1 page)
Return Postcard

Respectfully submitted,



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Legal Assistant

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